

Transfer Due to Divorce — IRA/HSA/529

Use this form to transfer assets from a Traditional, Roth, Rollover, SEP, or SIMPLE IRA ("IRA"), Fidelity HSA ("HSA"), or Fidelity 529 account ("529"), to the same type of account of an ex-spouse as a result of a divorce decree or legal separation. Type on screen or fill in using CAPITAL letters and black ink. If you need more room for information, make a copy of the relevant page.

Helpful to Know

- A copy of the divorce decree or legal separation order signed by a judge must be included. Include only the page(s) indicating that it is a divorce decree and that the divorce is granted. The page must also identify the parties of the divorce and include the judge's signature approving the divorce decree. **Note:** If your documents include references to equalization or simultaneous transfers, speak with your case manager prior to submission.
- Transfers must be between HSAs (HSA to HSA), 529s (529 to 529), or the same type of IRA (e.g., IRA to IRA, or Roth IRA to Roth IRA).
- To request a transfer for an HSA and IRA, a separate form must be completed for each registration type.
- Fidelity is not able to accept instructions that require interpretation (e.g., calculations of earnings, interest, penalties, or "as of" specific dates).
- The ex-spouse must have an existing Fidelity IRA, HSA, or 529, or must complete and submit a new account application along with this form. To open a new account online, go to Fidelity.com/openaccount.

1. Account Owner Information *Relinquishing party*

This phone number may be used if we have questions, but will not be used to update your account information.

Fidelity Account Number	Fidelity Account Number
Name	
Primary Phone	

2. Ex-Spouse Information *The receiving party must have an existing Fidelity IRA, HSA, or 529.*

For multiple account transfers that differ in transfer percentage, complete a separate form. Provide name on receiving account.

Fidelity Account Number	Fidelity Account Number
Name	

3. Transfer Instructions

Check **ONLY** one. Specified Amount *Must be available in the core position or in a cash position in a Mutual Fund Only account.*

Dollar Amount

OR

Specified Percentage of entire account

Percentage

If there is a position that will not divide in a tradeable lot (e.g., fixed income position), specify the number of shares to be transferred below for that one position and the remaining portion of the account will be transferred according to the percentage given.

For a FULL transfer, enter 100%.

Securities

Transfer the following Securities *In Kind:*

Indicate the number of shares or check "All."

Investment Name	CUSIP or Symbol	Number of Shares/ALL	<input type="checkbox"/> ALL
Investment Name	CUSIP or Symbol	Number of Shares/ALL	<input type="checkbox"/> ALL

To list more securities, make a copy of this page or attach a separate sheet, including the same information. **Important:** The attachment must be signed and dated.

Form continues on next page. ▶▶



4. Signatures and Dates *The account owner and ex-spouse must sign and date.*

By signing below, you:

- Certify that all information provided in this form is true, accurate, and complete.
- Direct Fidelity to act on all instructions given on this form and agree to indemnify us from and hold us harmless for any and all losses, liabilities, claims, and costs (including reasonable attorneys' fees) resulting from our effecting any transaction or acting upon such instructions.
- Indemnify Fidelity from any and all liability in the event you fail to meet any IRA/HSA/529 requirements concerning your IRA(s)/HSA(s)/529(s) referred to in this form, as applicable.
- Acknowledge that you have consulted with independent tax or legal counsel regarding the transfer, that the document (or portions thereof) that has been presented as evidence of a divorce or legal separation is a "divorce or separation instrument" within the meaning of Internal Revenue Code (IRC) Section 121(d)(3)(c)(i) for divorces after 12/31/2018, otherwise IRC Section 71(b)(2)(A), and that any directions given on this form are consistent with the entire divorce instrument, even if only a portion of the divorce or separation instrument has been provided.
- Acknowledge that failure to comply with the requirements of IRC Section 408(d)(6) (for IRAs), IRC Section 223(f)(7) (for HSAs), or IRC Section 529 (for 529s) may result in reportable income for the year in which the transfer occurs and potential tax liabilities as a result of such failure.

4a. Account Owner (Relinquishing Party) Signature and Date

A Medallion signature guarantee is required for the account owner (relinquishing party) if the value of the transfer is greater than \$100,000.

If the form is completed at a Fidelity Investor Center with all signers present, the Medallion signature guarantee is not required. You can get a Medallion signature guarantee from most banks, credit unions, and other financial institutions. A notary seal/stamp is NOT a signature guarantee.

PRINT ACCOUNT OWNER NAME <i>Relinquishing party</i>	
SIGN	ACCOUNT OWNER SIGNATURE X
DATE	TODAY'S DATE <i>MM/DD/YYYY</i> X

▼ MEDALLION SIGNATURE GUARANTEE ▼

4b. Ex-Spouse (Receiving Party) Signature and Date

PRINT RECEIVING PARTY NAME	
SIGN	RECEIVING PARTY SIGNATURE X
DATE	TODAY'S DATE <i>MM/DD/YYYY</i> X

For faster processing, complete, sign, and submit using the digital uploading process:

1. Complete the form, sign, and gather any necessary documents.
2. Scan or take a digital photo of the ENTIRE form and any required documents.
3. Scan the QR code **OR** go to Fidelity.com/upload-Transfer-Divorce to submit your files or photos.



If you are unable to utilize the digital uploading process and require mailing instructions, please visit Fidelity.com/customer-service/mailing-address.

On this form, "Fidelity" means Fidelity Brokerage Services LLC and its affiliates. Brokerage services are provided by Fidelity Brokerage Services LLC, Member NYSE, SIPC. 693723.7.0 (08/25)